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TO: Name Ms. Walker

Address EXTENSION FEE - Assistant Commissioner for Patents

Fax # 703-746-9617

Date August 26, 2003

Time:

FROM: Name Pamela J. Hogan, Intellectual Property Assistant

NUMBER OF PAGES (including cover sheet): 4

☒ ORIGINAL WILL NOT FOLLOW

☐ ORIGINAL WILL FOLLOW BY:

- ☐ REGULAR MAIL  
☐ EXPRESS MAIL  
☐ HAND DELIVERY  
☐ OTHER (See Remarks)

RE: U.S. Patent Application S.N. 09/851,367

Title: FULLY RECESSED UNIT EQUIPMENT LUMINAIRE

Atty. Dkt. No.: ZL327-01008

Enclosures: 1) PTO/SB/17

2) PTO-2038

3: PTO/SB/22

Pursuant to your call to Steve Witters, please find our extension fee in the amount of \$930.00. Please call me at 502/625-2820 to confirm receipt. Thank you for your attention to this matter.

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the following papers are facsimile-transmitted to the Patent and Trademark Office on the date shown below.

Pamela J. Hogan, Intellectual Property Assistant  
Person Signing

Signature

Date

8-26-2003



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0881-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 930.00)

**Complete if Known**

Application Number	09/851,367
Filing Date	05/08/2001
First Named Inventor	Balley, Glenn Eric
Examiner Name	Zeade, Bertrand
Art Unit	2875
Attorney Docket No.	ZL927-01008

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>				<b>(\$)</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>				<b>(\$)</b>	0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1612	2,520	1612	2,520	For filing a request for ex parte reexamination	
1804	820*	1804	820*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	65	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	260	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1607	60	1607	60	Processing fee under 37 CFR 1.17(q)	
1608	100	1608	100	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (limited number of properties)	
1808	750	2808	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	800	1802	800	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 930.00)**SUBMITTED BY**

Name (Print/Type)	Steve A. Witters	Registration No. (Attorney/Agent)	53,923	Telephone	502-584-1135
Signature		Date	August 19, 2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Received from &lt;502 561 0442&gt; at 8/26/03 9:14:09 AM [Eastern Daylight Time]